

## Quiz 1 Solutions

**Problem 1 [16 points]** Let

$$A = \left\{ w \in \{0,1\}^* : \begin{array}{l} \text{Either } w \text{ begins with a 0 and contains at least one 1,} \\ \text{or } w \text{ ends with a 1 and contains at least one 0} \end{array} \right\}$$

In the box below, write a regular expression describing the language  $A$ :

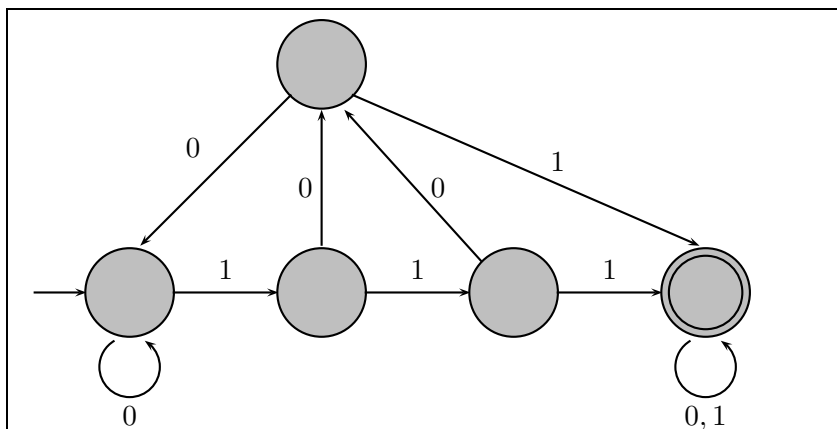
$$0(0 \cup 1)^* 1(0 \cup 1)^* \cup (0 \cup 1)^* 0(0 \cup 1)^* 1$$

In the first case,  $w$  is a string beginning with a 0, followed by any string consisting of zero or more ones and zeros, followed by a 1, followed again by any string consisting of zero or more ones and zeros. In the second case,  $w$  is a string consisting of any string of zero or more ones and zeros, followed by a 0, followed by any string of zero or more ones and zeros, followed by a 1.

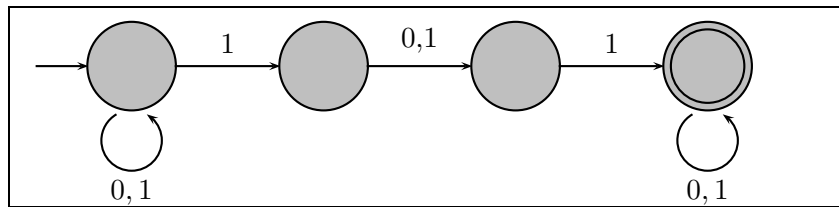
**Problem 2 [30 points]** Let

$$A = \{ w \in \{0,1\}^* : w \text{ contains either } 111 \text{ or } 101 \text{ as a substring} \}.$$

1. [15 points] Draw the state diagram of a DFA with *at most five states* that recognizes  $A$ .



2. [15 points] Draw the state diagram of a NFA with *at most four states* that recognizes  $A$ .



**Problem 3 [32 points]** If  $w \in \{0,1\}^*$  is a string then  $\text{flip}(w)$  is the string obtained by flipping each bit of  $w$ . (For example,  $\text{flip}(01101) = 10010$ ). If  $A \subseteq \{0,1\}^*$  is a language, we let

$$B = \{ w \in \{0,1\}^* : \text{flip}(w) \in A \}$$

$$C = \{ w \in A : \text{flip}(w) \notin A \}.$$

Assuming  $A$  is regular, prove the following:

- [16 points]**  $B$  is regular.

We use the template for proofs of closure properties that we have used many times in class.

**Given:**  $A$  is regular. So there is a DFA  $M = (Q, \{0,1\}, \delta, q_0, F)$  that accepts  $A$ .

**Want:** To show that  $B$  is regular. We will do this by constructing a DFA  $N$  that recognizes  $B$ . (It would suffice to construct an NFA, but in this case it is just as easy to construct a DFA, so we do.)

**Construction:** We simply flip the labels on the arrows of  $M$ , turning ones into zeros and zeros into ones. Formally, our DFA is  $N = (Q, \{0,1\}, \delta', q_0, F)$ , meaning all components are the same as in  $M$  except for the transition function. The new transition function is defined for all  $q \in Q$  and all  $\sigma \in \{0,1\}$  by

$$\delta'(q, \sigma) = \begin{cases} \delta(q, 0) & \text{if } \sigma = 1 \\ \delta(q, 1) & \text{if } \sigma = 0. \end{cases}$$

**Correctness of construction:**  $M$  goes from  $q_0$  to a state  $f$  on an input  $w$  if and only if  $N$  goes from  $q_0$  to  $f$  on input  $\text{flip}(w)$ . So  $M$  accepts  $w$  if and only if  $N$  accepts  $\text{flip}(w)$ .

- [16 points]**  $C$  is regular. (*Hint:* Use the fact that  $B$  is regular. You may do so even if you did not prove this.)

A string  $w$  is in  $C$  exactly when the following two conditions are both met: (1)  $w$  is in  $A$ , and (2)  $\text{flip}(w) \notin A$ , meaning  $w$  is not in  $B$ . This means that  $C = A \cap \overline{B}$ . Now, we can show that  $C$  is regular by using known closure properties of the class of regular languages:

Claim	Justification
$\overline{B}$ is regular	$B$ is regular, and we know that $L$ regular implies $\overline{L}$ regular for any language $L$
$A$ is regular	by assumption
$A \cap \overline{B}$ is regular	$A, \overline{B}$ are both regular, and we know that $L_1, L_2$ regular implies $L_1 \cap L_2$ regular for any languages $L_1, L_2$
$C$ is regular	$C = A \cap \overline{B}$

**Problem 4 [22 points]** Recall that  $|x|$  denotes the length of a string  $x$ , and let

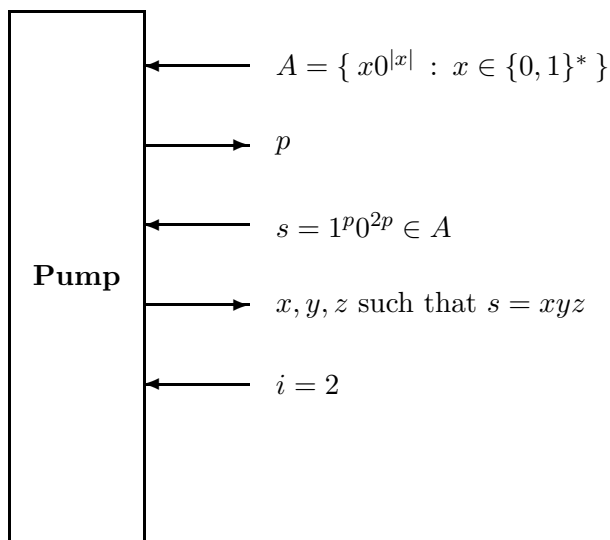
$$A = \{ x0^{2|x|} : x \in \{0,1\}^* \}.$$

Prove that  $A$  is not regular.

We use the usual template. The proof is by contradiction.

**Assume:**  $A = \{ x0^{2|x|} : x \in \{0,1\}^* \}$  is regular.

The assumption means that the pumping lemma (Theorem 1.37, page 78 of the text) applies to  $A$ . We imagine ourselves “interacting” with the lemma as follows:



We give it  $A$ , and it returns a pumping length  $p$ . Now, we choose a string  $s \in A$  of length greater than  $p$ , and return it to the lemma. The choice of string is important for the rest of the argument, and we set it to  $s = 1^p0^{2p}$ . This is in  $A$ , because  $w = 1^p$  is a string of length  $p$ , and thus  $s$  is  $w0^{2|w|}$ . Because  $s$  has a length greater than  $p$ , the pumping lemma says that  $s$  can be split into  $xyz$  which obey the three conditions of the pumping lemma. The lemma returns  $x, y, z$  to us. We then choose  $i = 2$  and return it to the lemma. At this point, the lemma guarantees that

- (1)  $xy^2z \in A$
- (2)  $|y| > 0$
- (3)  $|xy| \leq p$

Because the first  $p$  symbols of  $s$  are all 1, we know by condition (3) above that  $x$  and  $y$  must contain only ones. Condition (2) states that  $y$  must have length greater than zero, so we know  $y$  contains at least one one. So there exist  $a, b, c$  such that  $x = 1^a$ ,  $y = 1^b$  and  $z = 1^{p-a-b}0^{2p}$  and  $b \geq 1$  and  $a + b \leq p$ . So

$$xy^2z = 1^a 1^b 1^b 1^{p-a-b} 0^{2p} = 1^{a+2b+p-a-b} 0^{2p} = 1^{p+b} 0^{2p}.$$

However, there is no string  $w$  such that  $1^{p+b}0^{2p} = w0^{2|w|}$ , because the only choice for  $w$  would be  $1^{p+b}$  which has length  $p+b \geq p+1$ . So the definition of  $A$  tells us that  $xy^2z \notin A$ . But condition (1) says  $xy^2z \in A$ . They can't both be true, so we have a contradiction. This means our assumption that  $A$  was regular is false.

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